Curriculum and Instruction
Student Handbook

Doctor of Philosophy (PhD)
2021-2022
# Table of Contents

**Table of Contents**

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Table of Contents</td>
<td>2</td>
</tr>
<tr>
<td><strong>Purpose of Handbook</strong></td>
<td>4</td>
</tr>
<tr>
<td>DU Graduate Bulletin</td>
<td>4</td>
</tr>
<tr>
<td>MCE Policies and Procedures</td>
<td>4</td>
</tr>
<tr>
<td><strong>Welcome to the Morgridge College of Education</strong></td>
<td>5</td>
</tr>
<tr>
<td>Morgridge Vision Statement</td>
<td>5</td>
</tr>
<tr>
<td>Morgridge Culture</td>
<td>5</td>
</tr>
<tr>
<td>MCE Organization</td>
<td>5</td>
</tr>
<tr>
<td>Accreditation</td>
<td>6</td>
</tr>
<tr>
<td>Equal Opportunity Institution</td>
<td>6</td>
</tr>
<tr>
<td>University of Denver Land Acknowledgement</td>
<td>6</td>
</tr>
<tr>
<td>MCE School District Strike Policy</td>
<td>6</td>
</tr>
<tr>
<td><strong>TLS Faculty and Staff</strong></td>
<td>7</td>
</tr>
<tr>
<td><strong>Curriculum and Instruction PhD Program Overview</strong></td>
<td>9</td>
</tr>
<tr>
<td>Course Offerings</td>
<td>9</td>
</tr>
<tr>
<td>Communication</td>
<td>9</td>
</tr>
<tr>
<td>C&amp;I PhD Resources</td>
<td>9</td>
</tr>
<tr>
<td>Advising</td>
<td>10</td>
</tr>
<tr>
<td><strong>C&amp;I PhD Program Requirements</strong></td>
<td>11</td>
</tr>
<tr>
<td>Benchmarks in C&amp;I PhD program</td>
<td>11</td>
</tr>
<tr>
<td>Coursework</td>
<td>12</td>
</tr>
<tr>
<td>C&amp;I Specializations</td>
<td>13</td>
</tr>
<tr>
<td>Doctoral Applied Experiences for the PhD</td>
<td>15</td>
</tr>
<tr>
<td>PhD Comprehensive Examination</td>
<td>18</td>
</tr>
<tr>
<td>Dissertation</td>
<td>22</td>
</tr>
<tr>
<td><strong>Grades and Termination Protocols</strong></td>
<td>24</td>
</tr>
<tr>
<td>Grades</td>
<td>24</td>
</tr>
</tbody>
</table>
Purpose of Handbook

This handbook provides students with policies and procedures to assist them as they progress through the requirements of Morgridge’s degrees and certificates. By default, students follow the handbook of the year in which they entered the program. With approval from their advisor, students may choose to follow a handbook published after they matriculated into the program. If a student, with the approval of their advisor, chooses a newer handbook they must follow all the policies and protocols in the new handbook.

In addition to this handbook, the student should become familiar with the Graduate Policies and Procedures as stated in the DU Graduate Bulletin and the MCE Policies and Procedures. Although every effort has been made to ensure agreement between these three documents, it is the student’s responsibility to read the norms regarding degree programs in all documents and to complete various program steps in a timely fashion. In cases of discrepancy between documents, the Graduate Bulletin takes precedence.

**DU Graduate Bulletin**

Each year, DU publishes a Graduate Bulletin. Students will follow the bulletin that aligns with this C&I handbook – which is determined by the year in which the student matriculated into the program – unless otherwise discussed with the student’s advisor. Examples of information provided in the Graduate Bulletin link include:

- School-, college-, and division-specific requirements and information
- Admission and enrollment policies
- Tuition and fees
- Academic requirements, policies, and procedures
  - Academic standards
  - Change of degree or program
  - Student withdrawal from the university
  - Transfer of credit
- Academic and student support services, policies, and procedures
- Course descriptions

**MCE Policies and Procedures**

Along with DU’s Graduate Bulletin, C&I students should be familiar with MCE’s policies and procedures. Examples of information provided include:

- Degree planning
  - Advising
  - Course plans
  - Waiver or substitution of courses
  - Non-MCE courses
- Dissertation/doctoral research credits for continuous enrollment
- APA writing requirement
- Financial support parameters and eligibility
Welcome to the Morgridge College of Education

Welcome to the Morgridge College of Education! We believe that education serves as the foundation of and means for transformation within our society. Morgridge is committed to being more, doing more, and bringing about more positive change through the power of learning.

**Morgridge Vision Statement**
The Morgridge College of Education will be a global leader in innovative and effective approaches for promoting learning throughout the lifespan. Transcending traditional ideas about education and schooling, we will embrace a new, comprehensive vision of learning as a lifelong activity that involves the whole person and can occur through a variety of methods — anywhere and at any time. We will promote educational change and social equity and will provide leadership for the improvement of education, mental health, and information services and systems.

**Morgridge Culture**
Morgridge defines its culture through 5Cs:
- Community
- Curiosity
- Communication
- Collaboration
- Courage

**MCE Organization**
The chart below depicts MCE’s departmental organization. It does not include the college’s institutes, centers, or communities. Please note the chart depicts divisions most pertinent to TLS students only. See MCE’s website for more information.
Accreditation
The University of Denver and its programs are accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools (HLC-NCA) and by other major accrediting agencies.

Equal Opportunity Institution
The University of Denver is an Equal Opportunity Institution. It is the policy of the University not to discriminate in the admission of students, in the provision of services, or in employment on the basis of race, ethnicity, color, religion, sex, national origin, age, marital status, veteran status, sexual orientation, gender identity, gender expression, genetic information, or disability. The University prohibits all discrimination, harassment and retaliation, and complies with all applicable federal, state and local laws, regulations and Executive Orders.

Inquiries concerning allegations of discrimination based on any of the above factors may be referred to the University of Denver Office of Equal Opportunity/ADA Compliance, Mary Reed Building, Room 422, 2199 S. University Blvd., Denver, CO 80208. Phone: 303-871-7436. Fax: 303-871-3656. For more information, please call the above number or see the website. Students may also contact the Office of Diversity and Equal Opportunity/ADA Compliance with concerns regarding determinations of religious or disability accommodations and/or issues about access.

University of Denver Land Acknowledgement
The University of Denver resides on land held in stewardship by the Cheyenne and Arapaho tribes. We recognize the descendant communities of the Northern Cheyenne Tribe of Montana, the Northern Arapaho Tribe of Wyoming, and the Southern Cheyenne and Arapaho Tribes of Oklahoma who were forcibly removed from this land. We also acknowledge the Southern Ute Tribe and the Ute Mountain Ute Tribe, which are the only two federally recognized tribes in Colorado.

Today, Denver is home to many different citizens of Indigenous nations; we recognize their enduring presence on this land by paying respects to their elders, both past and present. It is because of the sacrifices and hardships of the traditional Indigenous inhabitants of this land that we are able to gather at DU to learn, collaborate, and share knowledge.

To learn more about DU’s recognition and reconciliation of their involvement in the Sand Creek Massacre, please review the John Evans report.

MCE School District Strike Policy
The University of Denver and the Morgridge College of Education understands the complexity of labor negotiations and does not take sides in any contract disputes. DU students should contact their university supervisors for instructions as soon as an event such as a strike or work stoppage occurs. We strongly discourage students from attending field and service placements during a labor strike due to a variety of issues including, but not limited to, supervision. Students will work with their university supervisors to ensure that they are able to complete their work. This policy is not intended to influence students who are also district employees, as they should use their own professional judgement. Their actions regarding these situations will have no academic consequences or impact on their status within the program.
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Curriculum and Instruction PhD Program Overview

The C&I program works toward the development of the most effective pedagogy, curriculum, assessment, scholarship, and care for students that is needed to address the current state of education in private and public, as well as formal and informal, educational settings.

The 90-credit doctor of philosophy (PhD) degree program is designed for students who want to further their knowledge of teaching, learning, schools, and communities from academically grounded frameworks. All PhD students take a wide range of courses in the areas of:

- Curriculum
- Instruction
- Foundations of Education
- Inclusive Excellence
- Research

Course Offerings

Please see a listing of C&I courses and descriptions in the Graduate Bulletin. These courses are not offered every quarter; students should consult with their advisors to work on their coursework plan (Appendix A) for their degree. On the C&I Portfolio site there is a multi-year course offering schedule; although subject to change, this can also assist with planning.

Communication

All students are automatically assigned a university e-mail address upon entry into the program, which must then be forwarded to a preferred e-mail account. This e-mail address is included in the MCE and C&I listservs; it will be used to send program-specific and MCE communications. Students are responsible for checking email regularly for critical information on scheduling, deadlines, conferences, field placements, job opportunities, etc. Additionally, students must update changes to their mailing address or other contact information in Pioneer Web within the first week of classes each quarter.

C&I PhD Resources

- C&I Program Portfolio
  This DU portfolio has specific information about the C&I program, including handbooks, coursework plans, and other student forms.

- Curriculum and Instruction PhD Canvas
  Students will receive an invite to Canvas in their DU email. This site is to assist in progressing through the program, as non-coursework requirements for PhD completion are listed as “assignments” on this site for completion.

Students are responsible for contacting their advisor if they have questions or concerns regarding the required information. Failure to complete items in Canvas may result in delayed graduation.
Advising
Before beginning the program, all students are assigned a faculty advisor. Advisors can assist in selecting coursework, conducting research, and planning a career trajectory. During the course of the program, students may find that there is another faculty member who is a better fit for their academic and professional goals. In that case, students may request to change advisors.

In order to change advisors, students must gain written approval from their current and proposed advisors. Then students can forward the approvals to the Academic Services Associate (ASA), who will make the advising change official.

For doctoral students, academic advisors are not necessarily the director of their dissertation committee. Though some students prefer to have the same faculty advisor for coursework as well as the dissertation, there are cases in which it is beneficial to keep these roles separate.
C&I PhD Program Requirements

The exact requirements to complete the C&I PhD program are posted in the Graduate Bulletin. To help C&I students, below are some specific requirements. However, they are subject to change and the C&I program will follow the Graduate Bulletin’s requirements and standards.

To complete the C&I PhD program, students must:

- Complete 90 credit hours of coursework, including 24 credit hours (minimum) in a specialization
- Maintain a cumulative grade-point average of 3.0 or better
- Complete a minimum of two doctoral applied experiences
- Pass a comprehensive examination
- Propose, conduct, and defend a dissertation

Benchmarks in C&I PhD program

The following benchmarks are to be completed by year-end, unless noted otherwise or modified in conjunction with a student’s academic advisor. A “year” is considered fall through summer.

Please note: the following is a recommended schedule for the four-year plan for coursework. This plan can be adapted as necessary. Students should consult with their advisor if they have questions, comments, or need clarification.

<table>
<thead>
<tr>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Coursework</td>
<td>Coursework</td>
<td>Coursework</td>
<td>Write dissertation</td>
</tr>
<tr>
<td>Start planning doctoral applied experiences</td>
<td>Pass comprehensive examination (Fall)</td>
<td>Pass dissertation proposal (Winter or Spring)</td>
<td>Defend dissertation</td>
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<tr>
<td>Complete the coursework plan and upload to Canvas</td>
<td>Collect dissertation data</td>
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<td>Submit doctoral applied experiences log</td>
</tr>
</tbody>
</table>
**Coursework**

General C&I required courses are listed in the PhD coursework plan. Only courses offered at the 4000 level and above count toward PhD degree requirements. More information about course requirements for specific specializations are located in the C&I Specializations section.

Appendix B has a general course sequence plan that students can review. Please note that this is an example; it is not a guarantee of the courses that will be offered. Students can find course schedules for upcoming years on the C&I online portfolio and the RMIS online portfolio.

**Credit Transfer**

Some students are interested in transferring credit from another institution. Please go to the Graduate Bulletin for the official rules and regulations about credit transfers. Below are general guidelines to remember:

- Graduate coursework and credit hours already applied toward a degree cannot be accepted as transfer credit towards another graduate degree of the same level or less.
- The student must initiate in writing the request for transfer of credit through the program of study prior to or during the first quarter of attendance as a DU degree-seeking student.
- Transfer credit toward a doctoral degree is limited to 15 hours.

**Independent Study, Directed Study, and Independent Research**

Some students will take either an independent study or directed study during their PhD program; all students will complete at least 10 independent research credits during their dissertation. Though these courses seem similar, there are some important distinctions:

- **Independent Study** (CUI 5991): Students register for an independent study to do special work under faculty supervision in areas not covered by course offerings. For instance, a student who has research interests that are not covered by existing MCE courses may arrange an independent study to pursue their interests more deeply.
- **Directed Study** (CUI 5992): Students register for a directed study as a substitution for an existing course offering. For instance, if extenuating circumstances prevent a student from taking a course when it is offered, the student may arrange with a faculty member to take the course on an individual basis.
- **Independent Research** (CUI 5995): Advanced doctoral students register for independent research to conduct independent research for their dissertation, including data collection, analysis, writing, and editing. All PhD students must complete at least 10 hours of independent research. Per MCE policy, up to five of independent research credits may be taken while completing other coursework.

Each of these courses requires individual supervision from an instructor as well as approval from the student’s advisor. To enroll in one of these courses, students must complete an application form. For independent studies and directed studies that are more aligned with RMS coursework (e.g., a directed study to substitute for an RMIS course), students may register for RMS 5991 or RMS 5992, respectively. Since independent studies and directed studies will appear on transcripts with different course numbers, students will need to fill out a course substitution form, as well.
Continuous Enrollment and Leaves of Absence
Students are expected to be enrolled continuously during their degree program unless they apply for a leave of absence. Students who become inactive without applying for a leave of absence may be required to re-enroll for admission and pay additional fees.

Students who temporarily withdraw from the PhD program (e.g., for medical or personal reasons, military duty, etc.) may apply for a leave of absence from the university. Upon returning from a leave of absence, students are guaranteed a place in the program and will not have to re-apply. For more details on leaves of absence, including deadlines and forms, consult the Graduate Bulletin.

After completing all other coursework, PhD students must register for at least one credit of independent research (CUI 5995) each quarter (not including summer) until they graduate per MCE policy. However, registration for independent research does not confer full-time student status. To maintain full-time status (e.g., to be eligible for loan deferment or a student visa), students may apply for Continuous Enrollment each year. For more details on Continuous Enrollment, including registration deadlines, fees, and required forms, consult the Graduate Bulletin.

Course Substitutions and Waivers
At times, students may need to substitute or waive a course. Students should discuss this with their advisor. A student will need both their advisor’s and the department chair’s signatures before the form can be approved. Students can find the Graduate Course Substitution or Waiver Form on MCE’s website.

C&I Specializations
As part of the total 90 credit hours required for the C&I PhD degree, you must complete a minimum of 24 credit hours in a specialization. C&I has five specializations areas:

- Culturally and Linguistically Diverse Education
- Curriculum Studies
- Mathematics Education
- K-12 Gifted Education
- K-12 Special Education

The specialization category of the degree offers an opportunity to focus on a particular field of interest. A well-designed specialization is organized around a student’s professional interests and will add a unique academic and professional quality to their C&I degree.

Colorado Department of Education (CDE) Endorsements
C&I offers several approved pathways to CDE endorsements. Students are offered the opportunity to seek one of these endorsements through their specialization by following the specific plan outlined in the appendices. C&I offers the following pathways (some may require additional credits):

- Culturally and Linguistically Diverse Education
- Gifted Education CORE
- Gifted Education Specialist
- Gifted Education Director (through the ELPS program)
- Special Education Generalist
- Special Education Director (through the ELPS program)
To be eligible for a CDE endorsement, students must 1) have a Colorado teaching license and 2) complete a specific set of courses and all applicable non-coursework requirements. If students wish to pursue a CDE endorsement, they should consult with their advisor to ensure that they complete all requirements.

**Culturally and Linguistically Diverse Education Specialization**

In the Culturally and Linguistically Diverse Education (CLDE) specialization, students complete courses to prepare them to be evidence-based practitioners and researchers who can foreground culturally responsive pedagogy and the cultural, linguistic, cognitive, and academic needs of culturally and linguistically diverse learners in communities and classroom practices. Throughout their coursework, candidates learn and apply knowledge about first and second language acquisition, the selection and utilization of culturally relevant literature, essential literacy components and curriculum and assessment practices for CLD learners with an emphasis on language and funds of knowledge to bridge communities, classrooms, and schools.

Students who specialize in Culturally and Linguistically Diverse Education may elect to follow a coursework plan that allows them to qualify for a CDE endorsement if they have a Colorado teaching license. Students who are interested in seeking this credential should consult with their advisor.

**Curriculum Studies Specialization**

In the Curriculum Studies specialization, students complete courses intended to add to their breadth and depth of knowledge of equity and social justice in education so that they will be well-equipped to meet the learning needs of all students in a variety of educational settings. The Curriculum Studies specialization focuses on curriculum design, models of curriculum, instructional strategies, assessment, and student engagement. Students can select courses around various themes in curriculum and instruction, including (but not limited to) diversity, teacher education, aesthetics, urban education, family studies, social work, library and information science, zoo and museum education, and international education. Additionally, coursework in this specialization encourages candidates to think critically and imaginatively about curriculum and instruction and its role in creating a more open and inviting learning experience for all students.

**Mathematics Education Specialization**

In the Mathematics Education specialization, students complete courses aimed at developing leaders and researchers in mathematics education with strong theoretical and historical backgrounds. The Mathematics Education specialization focuses on ambitious instructional practices that support meaningful learning experiences for all students, particularly those in historically marginalized groups. Students become familiar with a wide variety of research designs and methods of inquiry so that they can apply various theories to address problems of practice within mathematics education. In this way, students are prepared to address matters of practice in diverse educational settings with a strong background in mathematical content, pedagogy, and assessment.

**K-12 Gifted Education Specialization**

In the Gifted Education specialization, students complete courses in gifted education content knowledge integrated with leadership theory in complex systems that provides a breadth of foundational training for gifted education leadership positions. Students develop research skills that are focused on problem identification and interventions; coursework includes both qualitative and quantitative methodologies.
and applied analyses. Successful candidates can qualify for gifted education leadership roles, whether at a district, school, or university level.

Students who specialize in Gifted Education may elect to follow a coursework plan that allows them to qualify for a CDE endorsement if they have a Colorado teaching license. Students who are interested in seeking this credential should consult their advisor.

K-12 Special Education Specialization
In the Special Education specialization, students complete courses that prepare them to become evidence-based practitioners and researchers who optimize social-emotional, cognitive, academic, and behavioral outcomes for children with special needs. Through their coursework, they collaborate with families, community partners, and school personnel to enhance learning opportunities for children with special needs. Successful candidates apply theory to practice and research; they may qualify for district, school, or university leadership positions.

Students who specialize in Special Education may elect to follow a coursework plan that allows them to qualify for a CDE endorsement if they have a Colorado teaching license. Students interested in seeking this credential should consult with their advisor.

Doctoral Applied Experiences for the PhD
Doctoral Applied Experiences Rationale
The vision of the University of Denver is to be a great private University dedicated to the public good. Manifestations of this vision are seen across departments, divisions, graduate and undergraduate programs, directly in courses and in community service efforts. The Morgridge College of Education is committed to actions related to public good through a focus on “in-context learning.” This concept goes beyond course readings, assignments, and activities to consider the application of skills in the professional context. Leadership becomes a key role, whether as a teacher in a pre-school classroom, a school or district leader, a developer of curriculum for a museum, the chair of an international effort of an NGO, or any number of other positions. Professionals are trained in preparation for a multitude of roles, including roles in academia. Doctoral preparation includes rigorous coursework related to content areas and research methodologies. In consideration and preparation for assuming a leadership position within academia, doctoral candidates may seek out additional opportunities to gain direct experience and build competencies under the guidance of their advisor. Direct experience opportunities are provided through graduate-level teaching, research (beyond that of the doctoral research project), presentations or publications, grant writing, coaching or field work supervision, community leadership, and using technology as a tool to improve learning. These seven distinct areas are relevant to leadership, growth, and positive impact immediately upon graduation and over time. These areas may often interact or combine through an integrated experience. The intent is to benefit the doctoral candidate as they advance to a role with associated expectations.
Doctoral Applied Experience Areas

- Graduate-level teaching
- Research
- Presentations or Publications
- Grant Writing
- Community Leadership
- Coaching or Field Work Supervision
- Technology as a Tool to Improve Learning

Students will document these experiences in the doctoral applied experience evaluation log. Students will submit evidence of each successful experience; their advisors will acknowledge this by approving the evaluation log. **Students must complete doctoral applied experiences in at least two areas**, though they may elect to complete experiences in many or all areas. Experiences may be unrelated, related (a local presentation on the content taught in a graduate course), or integrated (research conducted on a community leadership project, then submitted for presentation at a national conference). Evidence is documented for each area, whether singularly or in combination. This log can be expanded to provide more detail.

**Graduate-level Teaching**

Students interested in teaching should work with their academic advisor to locate suitable courses to teach or co-teach with a faculty member. Students should consider registering for independent study while teaching the course with a faculty member who will act as an instructional coach.

**Research**

Students interested in research should participate in multiple levels of research under their advisor’s direction. Students must document a minimum of three different experiences (e.g., literature review, gathering data, analyzing data, etc.) beyond coursework requirements.

**Presentation or Publication**

Students interested in presentations or publications should submit proposals to local, state, national, and/or international organizations for juried review and potential acceptance. Students must submit a minimum of two first-authored proposals for presentation or publication, though the emphasis is on submission rather than acceptance. For example, students might submit proposals for a presentation at AATC, an article in an NCTM publication, or a poster to present at AERA.

**Grant Writing**

Students interested in grant writing should participate in writing and submitting grants to external or University funding sources. Students must document a minimum of two small projects or one large project. For example, students might draft a proposal for a grant, draft a literature review for documentation, or develop a timeline with measurable outcomes.

**Community Leadership**

Students interested in community leadership should provide evidence of community-engaged learning, service learning, or community impact work related to Curriculum and Instruction or within a specific field of study. For example, students might develop curriculum for a museum, lead professional development work in a local public school, or evaluate the implementation of a school program.
Coaching or Field Work Supervision
Students interested in coaching or field work supervision should work directly with a faculty mentor for training and support. The nature of this experience will be determined by the advisor, but examples might include: supervising or coaching TEP students or supervising training at a museum.

*TEP Supervision:* Doctoral students with coaching or teacher evaluation experience can supervise up to three TEP students per year. This includes observing and evaluating each TEP student at least twice per quarter, as well as attending training and meetings with TEP faculty and being an informal advisor for supervisees.

*TEP Coach:* Doctoral students with three or more years of teaching experience can be a coach for TEP students who are identified as needing additional support. This includes coordinating with the TEP student’s supervisor or advisor to identify goals, observing the TEP student two or three times per quarter, debriefing with the student after each observation, and updating the student’s supervisor or advisor on their progress.

Technology as a Tool to Improve Learning
Students interested in using technology might focus on improving learning through teaching and research, though this experience is not limited to these areas. Students should follow Quality Matters (QM) standards in developing this project. For example, students might evaluate the use of technology tools, develop a program to analyze data, or convert a face-to-face course to a hybrid or online course.

Doctoral Applied Experience Evaluation
As part of the pre-graduation coursework audit, students must complete and submit the doctoral applied experiences log. Students should keep documentation of each experience and submit the documentation to their advisor. See Appendix C for the doctoral applied experiences log.
PhD Comprehensive Examination

The Doctoral Comprehensive Exam is offered fall, winter, and spring quarters. The doctoral student must submit an Intent to Complete Doctoral Comprehensive Exam form to Canvas the first day of the fall, winter, or spring quarters. Failure to submit the form may delay comps. The student should contact their Advisor prior to submitting the form to make sure the Advisor supports the intention. Students are allowed up to two attempts to pass the comprehensive exam in the course of the program. Students must pass the Doctoral Comprehensive Examination before their dissertation proposal hearing.

Comprehensive Examination Objectives
In the Comprehensive Examination, C&I PhD students will demonstrate:
• Reflection (personal, theoretical, research-based, practical) related to professional trajectory including emerging sense of self as an agent of change in education.
• Comprehensive understanding of a research topic, theoretical/conceptual framework, relevance to the field and/or problem of practice, drawing on coursework and practical experiences.
• Basic knowledge of research methods: systematic literature review, research questions, data collection and analysis, research design.
• Critical thinking skills: creativity, analysis, synthesis, evaluation, reflection.
• Effective writing skills: organization, coherence, voice, grammar, writing conventions, APA style.

Comprehensive Examination Components and Criteria
Write a paper, 25-30 pages in length (includes title page, references, tables, figures, appendices), double-spaced, 12-point font. Follow current APA style and conventions.

Include the following:
• Title page (running head: COMPREHENSIVE EXAM_LAST NAME, Comprehensive Exam: quarter/year, student name, University of Denver, advisor name)
• Professional goals
• Overview of research topic (or problem of practice)
• Research problem or Problem of practice
• Theoretical or Conceptual framework
• Literature review
• Methods
• References
• Appendices

Logistics and Policies
C&I doctoral students are to follow the most recent logistics and policies for C&I Doctoral Comprehensive Exams as defined by the program and stated in the program handbooks. If policies are updated, doctoral students will be advised before starting the process.
<table>
<thead>
<tr>
<th>Activity/Steps</th>
<th>Timeline</th>
<th>Who is responsible?</th>
<th>Description</th>
</tr>
</thead>
</table>
| **STEP 1**     | By the 3rd year in the program | → Student  
→ Academic advisor | The advisor refers students to the handbook and meets with students as needed. |
| C&I PhD student consults with advisor about timeline | | | |
| **STEP 2**     | 2 weeks prior to the start of the Fall, Winter, Spring quarters | → ASA | Failure to complete the form by due date may delay comps until the next available quarter.  
If the due date is missed the student must send a formal appeal to their advisor. If the advisor supports the appeal, the student will send the C&I PhD Coordinator their appeal for review. This should include a rationale for the delay and statement of preparedness for the comprehensive exam. |
| ASA sends reminder to students about upcoming deadline to submit the *Intent to Complete Comprehensive Exam* form | | | |
| **STEP 3**     | 1st day of quarter (Fall, Winter, Spring) | → Student | The first reader is the student’s academic advisor.  
ASA works with the Department Chair and PhD/EdD Coordinators to ensure equitable distribution of second readers.  
Second readers include tenure track and teaching and professional faculty. |
| Students submit *Intent to Complete Comprehensive Exam* form in Canvas | | | |
| **STEP 4**     | By Monday of Week 3 of the quarter | → ASA,  
→ Department Chair in consultation with the PhD/EdD Coordinators | | |
| A second reader will be assigned by the ASA | | | |
| **STEP 5**     | By Monday of Week 3 of the quarter | → Student | The student uses the *Doctoral Comprehensive Exam Outline & Rubric* to prepare and submit Doctoral Comprehensive Exam in Canvas. Exams will not be accepted after this date. |
| Student submits the Doctoral Comprehensive Examination in Canvas or notifies ASA of withdrawal of intent to submit. | | | |
| **STEP 6**     | By Tuesday of Week 3 of the quarter | → ASA | | |
| ASA notifies the advisor and second reader | | | |
The comprehensive exam has been submitted in Canvas.

### STEP 7

Advisor and 2nd reader review the Comprehensive Examination paper. 2nd Reader submits the Doctoral Comprehensive Exam Approval Page to the advisor.

<table>
<thead>
<tr>
<th>By Monday of Week 5 of the quarter</th>
<th>Advisor → 2nd Reader</th>
</tr>
</thead>
</table>

The comprehensive exam will be assessed as pass, pass with revisions, or fail according to the rubric available in the Handbook.

Faculty will provide comments on the comprehensive exam posted on the Canvas site. Additional comments can be provided during one-on-one conversations if deemed warranted by the faculty advisor.

If there is a discrepancy in the results, the advisor and 2nd reader hold a meeting to come to a consensus. The department chair will facilitate a conversation if both faculties are unable to reach consensus.

### STEP 8

Advisor documents the decision on the Doctoral Comprehensive Exam Approval Page in Canvas. The advisor sends the form to the student. If “pass” decision, the student submits the form to Canvas.

<table>
<thead>
<tr>
<th>By Friday of Week 5 of the quarter</th>
<th>Advisor</th>
</tr>
</thead>
</table>

If there is a discrepancy in the results, the advisor and 2nd reader hold a meeting to come to a consensus. The department chair will facilitate a conversation if both faculties are unable to reach consensus.
Comprehensive Examination Evaluation

Students will receive one of the following evaluations: pass, pass with revisions, or fail. Students are allowed up to two attempts to pass the Comprehensive Examination. **Students must pass the Comprehensive Examination before they are allowed to propose their dissertation.**

<table>
<thead>
<tr>
<th>Rating</th>
<th>Action</th>
<th>Timeline</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pass</td>
<td>The student submits the signed <em>Doctoral Comprehensive Exam Approval Page</em> to Canvas.</td>
<td>By Week 6 of the quarter</td>
</tr>
<tr>
<td></td>
<td>The student may be asked to make minor revisions to aid in a proposal defense, or no further action may be required.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>The student can move to the next step of Dissertation or Dissertation in Practice proposal development and hearing.</td>
<td></td>
</tr>
<tr>
<td>Pass with revisions</td>
<td>The student will complete the revisions in consultation with the faculty advisor and resubmit the revised comprehensive paper in Canvas.</td>
<td>By 1st day of Week 8 of the quarter</td>
</tr>
<tr>
<td></td>
<td>The advisor and the second reader will re-review the comprehensive paper and submit a revised decision as <strong>pass or fail</strong>. Second reader submits the <em>Doctoral Comprehensive Exam Approval Page</em> to the advisor.</td>
<td>By 1st day of Week 9 of the quarter</td>
</tr>
<tr>
<td></td>
<td>Advisor documents the determination on the <em>Doctoral Comprehensive Exam Approval Page</em> in Canvas. The advisor sends the form to the student.</td>
<td>By 1st day of Week 10 of the quarter</td>
</tr>
<tr>
<td></td>
<td>If the student receives a pass decision, the student submits the form to Canvas.</td>
<td>By last day of Week 10 of the quarter</td>
</tr>
<tr>
<td>Fail</td>
<td>The student will need to resubmit the comprehensive exam the following quarter that it is offered. Upon two failed attempts, the faculty advisor may begin the protocol for probation or dismissal from the program.</td>
<td>The student has a maximum of two attempts to submit their comprehensive exams.</td>
</tr>
<tr>
<td></td>
<td>The student must complete comps before their dissertation proposal hearing.</td>
<td></td>
</tr>
</tbody>
</table>

*See the full comprehensive exam rubric on Appendix E.*
Dissertation
The dissertation represents the culminating research experience for PhD students. In the dissertation stage of the PhD degree, doctoral students have a unique opportunity to complete original research that contributes to the field of Curriculum and Instruction. The nature of the research is expected to vary from student to student, since the dissertation should be based on the student’s interest, expertise, and career trajectory.

It is expected that students take full responsibility for the dissertation, including IRB review and approval, logistics, access, and other considerations.

Dissertation Process
Research Course Sequence
Students will advance through the agreed-upon prescriptive sequence of research courses required for the coursework plan and selected area of specialization. See Appendix A for the PhD coursework plan.

Dissertation Proposal
For most students, the dissertation proposal is the first three chapters of their dissertation; some students may plan an alternate proposal with the dissertation director. Students must defend their dissertation proposal to the dissertation director and one committee member. Please see Appendix E for Dissertation Proposal Criteria and Appendix F for required Dissertation Proposal Components.

Office of Research and Sponsored Programs
The DU Office of Research and Sponsored Programs (ORSP) provides support and oversight for research conducted by members of the University of Denver community so as “to ensure active adherence to the ethical principles and professional standards for the responsible conduct of research.”

IRB Review and Approval
Upon successfully defending the proposal and making any required revisions, students will apply for approval through the Institutional Review Board (IRB) at the University of Denver. Depending on the nature of the dissertation, it may be necessary to seek additional institutional approval from the community partner. For instance, many school districts will require researchers to submit documentation to their own review boards for approval before data collection may begin.

MCE students, staff and faculty who conduct research are required to consult the ORSP website to determine if their research project qualifies as human subject research that requires IRB approval. Please review the examples of research activities that may or may not require an IRB proposal. MCE graduate students should consult with their faculty advisor about their research activities. If you have additional questions about the ethical conduct of research at DU, please contact ORSP staff at 303-871-2121. More information is available at the ORSP website.

After successfully defending the proposal and securing approval from IRB and community partners (as necessary), students will conduct their dissertation. Only data collected post-IRB approval can be included in the dissertation.
Dissertation Committee

Students must select a committee to review their dissertation. The committee for the dissertation defense is composed of a minimum of three and a maximum of six voting members, including the dissertation director. The dissertation director is typically a tenured or tenure-track faculty member in C&I. In some circumstances, clinical faculty can serve as the dissertation director with approval of the department chair and the dean. Other voting committee members are typically DU faculty; they need not be in the C&I department, but the dissertation subject should be appropriate to their field of expertise. Students may select at most one committee member from outside DU (e.g., a tenured or tenure-track faculty member from another university).

Students must request approval for committee members who are not tenured or tenure-track faculty (e.g., clinical faculty). To request approval, students should submit the CV of the potential committee member to the TLS department chair; the department chair will request approval from the dean. All committee members must have an earned doctorate and be actively involved in research and scholarship.

After the dissertation proposal has been approved, students should submit the Thesis/Dissertation Oral Defense Committee Recommendation form to the Office of Graduate Education. See the Graduate Bulletin for additional information on the dissertation committee.

Oral Defense

An oral examination is required to defend the dissertation proposal and final dissertation. The student is responsible for scheduling both defenses. Copies of the appropriate document (i.e., dissertation proposal or dissertation) must be provided to the committee at least four weeks prior to each scheduled defense. The examination is concerned primarily with the dissertation or research project but may include other information in the major field as is pertinent. A dissertation defense may not be scheduled during the same term as the comprehensive examination.

A dissertation proposal or defense may be attended by interested students and faculty members from within the university.

Submit dissertation to ETD/ProQuest

Students should consult DU’s dissertation formatting guidelines to ensure that their dissertation is properly formatted. Students must submit an electronic copy of their dissertation to ETD/ProQuest and submit the faculty approval page to the Office of Graduate Education at least two weeks prior to the end of the quarter. See the Graduate School’s dissertation instructions for a schedule of deadlines and specific instructions for submitting the dissertation to ETD/ProQuest.
Grades and Termination Protocols

Grades
Students must maintain a cumulative grade-point average of 3.0 or better. Grades below a “C” will not be counted toward the degree.

Incomplete Grades
An Incomplete “I” is a temporary grade that may be given at the instructor’s discretion. It is intended for students who face extenuating circumstances — e.g., a sudden illness, necessary absence, or other events beyond their control — that prevent the completion of course requirements by the end of the academic term. For more information, please review the Office of the Registrar’s Incomplete Grade Policy.

Probation and Termination Protocol
For general information on the policies and procedures related to probation and termination in the Curriculum and Instruction program in Morgridge, please refer to the MCE General Academic Probation Protocol and Dismissal Protocol.

For a comprehensive description of situations that warrant probation, dismissal, and termination, please refer to the Graduate Bulletin’s description of Academic Standards.

Adequate Progress
Three or more non-passing grades (i.e., grades that are incomplete or below a “C”) may be a sign of inadequate progress and may result in probationary status.
Appendix A: Curriculum and Instruction PhD Coursework Plan

Please discuss with your advisor the course plan most appropriate for your schedule. Students must maintain a cumulative grade-point average of 3.0 or better. Grades below a “C” will not be counted toward the degree.

<table>
<thead>
<tr>
<th>Course Number</th>
<th>Credit Hours</th>
<th>Expected Term of Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>CUI 4020</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4039</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4160</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4035</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4022</td>
<td>3</td>
<td></td>
</tr>
</tbody>
</table>

Minimum Total Credit Hours Required: 15

B. FOUNDATIONS

Select two of the following courses:

<table>
<thead>
<tr>
<th>Course Number</th>
<th>Credit Hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>CUI 4180</td>
<td>3</td>
</tr>
<tr>
<td>CUI 4130</td>
<td>3</td>
</tr>
<tr>
<td>CUI 4131</td>
<td>3</td>
</tr>
</tbody>
</table>

Minimum Total Credit Hours Required: 6

C. SPECIALIZATION

Minimum Total Credit Hours Required: 24

D. ELECTIVES
Minimum Total Credit Hours Required | 6
---|---

**E. RESEARCH**

**Introductory Research (11 credits)**
- **Introduction to Qualitative Research**<br>  RMS 4941<br> 4
- **Quantitative Research Design**<br>  RMS 4930<br> 3
- **Introductory Statistics**<br>  RMS 4910<br> 4

**Intermediate Research* (8-9 credits)**

**Advanced Research* (3-4 credits)**

**Dissertation Research (minimum 16 credits)**
- **Curriculum and Instruction Research Seminar**<br>  CUI 4050<br> 3
- **Seminar in Dissertation Organization and Research**<br>  CUI 4051<br> 3
- **Dissertation Research**<br>  CUI 5995<br> 10

**Minimum Total Credit Hours Required** | 39

* Select from the following courses identified as intermediate or advanced. Other courses may be substituted with permission from the advisor and a course substitution form.

**Approved RMS Intermediate and Advanced Courses**
Course descriptions and information can be found in the DU Bulletin.

**Intermediate**
- RMS 4911 Correlation and Regression
- RMS 4129 Analysis of Variance
- RMS 4918 Propensity Score Analysis
- RMS 4922 Item Response Theory
- RMS 4924 Factor Analysis
- RMS 4932 Meta-Analysis Social Science Research
- RMS 4942 Qual Data Collection and Analysis
- RMS 4947 Arts-Based Research
- RMS 4948 Crit and Con: Qual research
- RMS 4963 Eval Research I
- RMS 4964 Eval Research II

**Advanced**
- RMS 4913 Multivariate Analysis
- RMS 4914 Structural Equation Modeling
- RMS 4915 Hierarchal Linear Modeling
- RMS 4916 Latent Growth Curve Modeling
- RMS 4945 Community-Based Research
- RMS 4946 Advanced Qual research
- RMS 4951 Mixed Method Research Design
### Summary of Coursework Completed

<table>
<thead>
<tr>
<th></th>
<th>Required Credits</th>
<th>Credits Completed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Required Coursework</td>
<td>15</td>
<td></td>
</tr>
<tr>
<td>Foundations</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>Specialization</td>
<td>24</td>
<td></td>
</tr>
<tr>
<td>Electives</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>Research</td>
<td>39</td>
<td></td>
</tr>
<tr>
<td><strong>MINIMUM TOTAL CREDITS FOR DEGREE</strong></td>
<td><strong>90</strong></td>
<td><strong>90</strong></td>
</tr>
</tbody>
</table>

In order to maintain degree candidacy, MCE doctoral students who have finished all requested coursework will register for one dissertation or doctoral research credit or other credit for consecutive terms fall through spring (summers not required) until the student graduates.

* Note: If you seek a CDE endorsement, you must follow the specialization plan outlined in the C&I handbook and complete any additional necessary components required by the CDE.

In addition, you must complete the following non-coursework requirements: comprehensive exam, doctoral applied experiences, and dissertation proposal and defense.

Student’s Signature_______________________________________ Date _________________________

Advisor’s Signature_______________________________________ Date _________________________

Cognate Advisor’s Signature (if applicable) ____________________ Date _________________________
## Appendix B: C&I PhD Sample Course Sequence Plan

<table>
<thead>
<tr>
<th></th>
<th>Fall</th>
<th>Winter</th>
<th>Spring</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year 1</td>
<td>1) <strong>Required (3)</strong></td>
<td>1) <strong>Required (3)</strong></td>
<td>1) <strong>Required (3)</strong></td>
</tr>
<tr>
<td></td>
<td>CUI 4020 Introduction to Curriculum</td>
<td>CUI 4160 Race, Class, and Gender in Education</td>
<td>CUI 4035 Critical Perspectives</td>
</tr>
<tr>
<td></td>
<td>2) <strong>Specialization (3)</strong></td>
<td>2) <strong>Foundations (3)</strong></td>
<td>2) <strong>Specialization (3)</strong></td>
</tr>
<tr>
<td></td>
<td>3) <strong>Intro. Research (4)</strong></td>
<td>3) <strong>Specialization (3)</strong></td>
<td>3) <strong>C&amp;I Research (3)</strong></td>
</tr>
<tr>
<td></td>
<td>RMS 4941 Intro to Qual Research</td>
<td></td>
<td>CUI 4050 C&amp;I Research Sem</td>
</tr>
<tr>
<td></td>
<td>Total: 10 credits</td>
<td>Total: 9 credits</td>
<td>Total: 9 credits</td>
</tr>
<tr>
<td>Year 2</td>
<td>1) <strong>Required (3)</strong></td>
<td>1) <strong>Required (3)</strong></td>
<td>1) <strong>Intro Research (3)</strong></td>
</tr>
<tr>
<td></td>
<td>CUI 4022 Curricular Theory into Practice</td>
<td>CUI 4039 Transformational Teaching and Learning</td>
<td>RMS 4930 Quant Research Design</td>
</tr>
<tr>
<td></td>
<td>2) <strong>Intro. Research (5)</strong></td>
<td>2) <strong>Foundations (3)</strong></td>
<td>2) <strong>Specialization (3)</strong></td>
</tr>
<tr>
<td></td>
<td>RMS 4910 Intro to Stats</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>3) <strong>Specialization (3)</strong></td>
<td>3) <strong>Specialization (3)</strong></td>
<td>3) <strong>C&amp;I Research (3)</strong></td>
</tr>
<tr>
<td></td>
<td>Total: 11 credits</td>
<td>Total: 9 credits</td>
<td>CUI 4051 Seminar in Dissertation Org and Rsrch</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total: 9 credits</td>
<td></td>
</tr>
<tr>
<td>Year 3</td>
<td>1) <strong>Inter. Research (3)</strong></td>
<td>1) <strong>Specialization (3)</strong></td>
<td>1) <strong>Adv. Research (3-4)</strong></td>
</tr>
<tr>
<td></td>
<td>2) <strong>Specialization (3)</strong></td>
<td>2) <strong>Inter. Research (3-4)</strong></td>
<td>2) <strong>Elective (3)</strong></td>
</tr>
<tr>
<td></td>
<td>3) <strong>Elective (3)</strong></td>
<td>3) <strong>Inter. Research (3-4)</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Total: 9 credits</td>
<td>Total: 9 credits</td>
<td>Total: 6-7 credits</td>
</tr>
<tr>
<td>Year 4</td>
<td>1) <strong>Dissertation Research (3)</strong> CUI 5995</td>
<td>1) <strong>Dissertation Research (3)</strong> CUI 5995</td>
<td>1) <strong>Dissertation Research (3)</strong> CUI 5995</td>
</tr>
<tr>
<td></td>
<td>Total: 3 credits</td>
<td>Total: 3 credits</td>
<td>Total: 3 credits</td>
</tr>
</tbody>
</table>
Appendix C: Doctoral Applied Experiences Log

Students must complete at least two experiences (in different areas). This log can be expanded to provide more detail. *Once completed, students need to upload the form into Canvas.*

<table>
<thead>
<tr>
<th>Name: _________________________</th>
<th>DU ID: __________________</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program: ___________________________</td>
<td>Date: ____________________</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Activity</th>
<th>Description and Evidence</th>
<th>Satisfactory? (Y/N)</th>
<th>Faculty Signature and Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Graduate-level Teaching</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Research</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Presentation or Publication</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Grant Writing</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Coaching or Field Work Supervision</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Community Leadership in Curriculum and Instruction</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Technology as a Tool for Improving Learning</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Appendix D: PhD Comprehensive Examination Outline

Comprehensive Exam Outline

Professional Goals

- Provide a statement of your personal and/or professional journey, reflecting on what led to your professional goals and aspirations.
- In what ways has your coursework and Doctoral Applied Competencies informed your personal and professional understanding of your area of interest/problem of practice? How have these supported your professional journey as an agent of change?
- Reflect on your sense of self as educator and scholar of diversity, equity and inclusion as you started the C&I program and your current understanding of those personal and professional commitments.

Overview of Research Topic/Problem of Practice

- Describe the background/context of your research problem; include personal, scholarly, and practical rationale for the study
- Describe why this study is important (theory, practice, research-base) to you and your professional community

Research Problem/Problem of Practice

Problem 1

- Define a problem in the research or the practice of education that you will address

Problem 2 *add more research problems to address if needed

- Define a problem in the research or the practice of education that you will address

Significance of Problem

- Describe the significance of your research or investigation of a problem of practice in education or your professional community
- Describe how this study connects with the wider mission of C&I/MCE/DU to promote diversity, equity, inclusion, and social justice in education

Purpose of Study

- Describe the purpose of the study in 1 sentence.

Literature Review

Theoretical and/or Conceptual Framework

- Describe a theoretical or conceptual framework that will guide your literature review purpose.
- Optional: Provide a framework visual as a figure or diagram

Literature Reviews

- Identify literature/synthesis/meta-analyses on your topic area
- Describe these previous literature/synthesis/meta-analyses (e.g., purpose, methods, main findings as relevant to the research problem)
- State how your synthesis furthers/differs/expands previous syntheses/meta-analyses
Describe the gap in the literature your research will address

Purpose
- State the purpose of your review of literature

Literature Review Method

Inclusion Criteria
- State the inclusion/exclusion criteria for your review of literature

Electronic Database Search
- Include the electronic database(s) searched, how many articles were identified in the electronic database search, and the number of articles that were excluded on the title/abstract review
- Include a rationale for each article excluded after the title/abstract review. The rationale must align to your inclusion criteria

Hand Search and Ancestral Review
- Include a reviewed reference section of at least one relevant and published synthesis/meta-analysis and state how many additional articles were identified from this reference section review
- Include a three-year hand search of three relevant journals and state how many additional articles were identified from this hand search. Include a final sentence that states the total number of articles identified and includes in-text citations for these articles

Literature Review Results

Overview of Studies
- Include an overview of studies, these may include aggregated (e.g., total number of participants across all studies) and disaggregated data (e.g., disaggregated by age/grade) from all studies

Theme 1
- Include a theme that addresses your review of literature’s purpose
  - Show studies and examples from the literature- descriptive analysis

Theme 2 (add more themes if needed)
- Include a theme that addresses your review of literature’s purpose
  - Show studies and examples from the literature- descriptive analysis

Discussion of Literature Review

Summary of Findings/ Conclusion
- Include a summary of findings that specifically states how this review of literature adds (e.g., agrees, disagrees, furthers) to previous literature and/or problems of practice. This section is included in the summary of finding.

Limitations and Future Research
• Include a limitations and future research section. Note that this is where you make your case for your dissertation study

**Personal Significance for Future Study**
• Note how the literature review informed your personal and professional understanding of your compelling question and area of research in education

**Method**

**Research Questions**
• Include proposed research questions

**Researcher in this Context**
• Include information about personal commitment and background experiences associated with the proposed research

**References (APA 7)**

**Appendices**
Appendix E: PhD Comprehensive Examination Rubric

In order to pass the comprehensive examination, the submission must:

- Meet all PhD Comprehensive Exam guidelines
- Be rated as “exemplary” or “satisfactory” on all aspects of the paper.

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Exemplary</th>
<th>Satisfactory</th>
<th>Unacceptable</th>
</tr>
</thead>
<tbody>
<tr>
<td>Statement of Professional Goals</td>
<td>• Goals are clearly related to a professional trajectory.</td>
<td>• Goals are partially related to a professional trajectory.</td>
<td>• Goals do not lead to a clear professional trajectory.</td>
</tr>
<tr>
<td></td>
<td>• Goals are aligned with applied experiences.</td>
<td>• Goals are partially aligned with applied experiences.</td>
<td>• The goals are not aligned with applied experiences.</td>
</tr>
<tr>
<td>Overview of Research Topic</td>
<td>• Overview of the research topic demonstrates student’s comprehensive knowledge of the field.</td>
<td>• Overview of the research topic demonstrates partial knowledge of the field.</td>
<td>• Overview of the research topic does not demonstrate comprehensive knowledge of the field.</td>
</tr>
<tr>
<td></td>
<td>• Research topic is directly applicable to the field.</td>
<td>• Research topic is somewhat applicable to the field.</td>
<td>• Research topic does not directly apply to the field.</td>
</tr>
<tr>
<td>Statement of Research Problem</td>
<td>• Scope of the problem is clear and realistic.</td>
<td>• Scope of the problem is somewhat clear and realistic.</td>
<td>• Scope is too broad, too narrow, or ill-defined.</td>
</tr>
<tr>
<td></td>
<td>• Research problem is well-supported by research and ethical to investigate.</td>
<td>• The problem is somewhat supported by research; there are some ethical concerns.</td>
<td>• The problem is not well-supported by research.</td>
</tr>
<tr>
<td></td>
<td>• Research problem is grounded in theoretical or practical significance.</td>
<td>• Research problem is partially grounded in theoretical or practical significance.</td>
<td>• Research problem is not grounded in theoretical or practical significance.</td>
</tr>
<tr>
<td></td>
<td>• Research problem is clearly applicable to the field.</td>
<td>• Research problem is partially applicable to the field.</td>
<td>• Unethical to investigate</td>
</tr>
<tr>
<td>Review of Relevant Research Literature</td>
<td>• Literature review has a clear theoretical, conceptual, or empirical basis.</td>
<td>• Literature review has a weak theoretical, conceptual, or empirical basis.</td>
<td>• Literature review lacks a clear theoretical, conceptual, or empirical basis.</td>
</tr>
<tr>
<td></td>
<td>• The theoretical, conceptual, or empirical basis for the literature view is aligned with the research topic and research problem.</td>
<td>• The theoretical, conceptual, or empirical basis for the literature view is partially aligned with the research topic and research problem.</td>
<td>• The theoretical, conceptual, or empirical basis for the literature review poorly aligned with research topic and research problem.</td>
</tr>
<tr>
<td>Research Questions and Design</td>
<td>• Research questions are clear and measurable.</td>
<td>• Research questions are partially clear or measurable.</td>
<td>• Research questions are not clear or measurable.</td>
</tr>
<tr>
<td></td>
<td>• Research questions are motivated by the literature review and aligned to the research problem.</td>
<td>• Research questions are partially motivated by the literature review and aligned to the research problem.</td>
<td>• Research questions are not motivated by the literature review or aligned to the research problem.</td>
</tr>
<tr>
<td>Coherence</td>
<td>• Paper is coherently written and logical, with well-supported arguments.</td>
<td>• Paper is coherent and logical, however it has weakly-supported arguments.</td>
<td>• Paper lacks coherence and logic; arguments need to be better supported.</td>
</tr>
<tr>
<td></td>
<td>• Paper flows from section to section.</td>
<td>• Paper flows from section to section with few exceptions.</td>
<td></td>
</tr>
<tr>
<td>Depth of discussion and References</td>
<td>• In-depth discussion and elaboration in all sections of the paper.</td>
<td>• In-depth discussion and elaboration in most sections of the paper.</td>
<td>• Cursory discussion and elaboration in most sections of the paper.</td>
</tr>
<tr>
<td></td>
<td>• Compelling evidence from professional sources is given to support content.</td>
<td>• Compelling evidence from professional sources is given to support content with few exceptions.</td>
<td>• Sources to support content are occasionally given. Many statements seem unsubstantiated.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>--------------------------</td>
<td>-----------------------------------------------------------------</td>
<td>-----------------------------------------------------------------</td>
<td></td>
</tr>
<tr>
<td><strong>APA Format</strong></td>
<td>• Paper follows APA 7 guidelines.</td>
<td>• Paper partially follows APA 7 guidelines.</td>
<td></td>
</tr>
<tr>
<td><strong>Polish</strong></td>
<td>• No spelling or grammatical errors.</td>
<td>• Few errors that do not detract from understanding.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Sources cited are accurate and current with few exceptions.</td>
<td>• Sources cited are either not accurate and/or not current.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Errors detract from understanding.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Appendix F: Dissertation Proposal Components

The following represents a sample outline for a dissertation proposal. Dissertation committees may recommend changes, modifications, or additional components.

Overview of Research Topic/Problem of Practice

- Describe the background/context of your research problem; include personal, scholarly, and practical rationale for the study
- Describe why this study is important (theory, practice, research-base) to you and your professional community

Research Problem/Problem of Practice

Problem 1
- Define a problem in the research or the practice of education that you will address

Problem 2 *add more research problems to address if needed
- Define a problem in the research or the practice of education that you will address

Significance of Problem

- Describe the significance of your research or investigation of a problem of practice in education or your professional community
- Describe how this study connects with the wider mission of C&I/MCE/DU to promote diversity, equity, inclusion, and social justice in education

Purpose of Study

- Describe the purpose of the study in 1 sentence.

Literature Review

Theoretical and/or Conceptual Framework

- Describe a theoretical or conceptual framework that will guide your literature review purpose.
- Optional: Provide a framework visual as a figure or diagram

Literature Reviews

- Identify literature/synthesis/meta-analyses on your topic area
- Describe these previous literature/synthesis/meta-analyses (e.g., purpose, methods, main findings as relevant to the research problem)
- State how your synthesis furthers/differs/expands previous syntheses/meta-analyses
- Describe the gap in the literature your research will address

Purpose

- State the purpose of your review of literature

Literature Review Method

Inclusion Criteria
● State the inclusion/exclusion criteria for your review of literature

**Electronic Database Search**
- Include the electronic database(s) searched, how many articles were identified in the electronic database search, and the number of articles that were excluded on the title/abstract review
- Include a rationale for each article excluded after the title/abstract review. The rationale must align to your inclusion criteria

**Hand Search and Ancestral Review**
- Include a reviewed reference section of at least one relevant and published synthesis/meta-analysis and state how many additional articles were identified from this reference section review
- Include a three-year hand search of three relevant journals and state how many additional articles were identified from this hand search. Include a final sentence that states the total number of articles identified and includes in-text citations for these articles

**Literature Review Results**

**Overview of Studies**
- Include an overview of studies, these may include aggregated (e.g., total number of participants across all studies) and disaggregated data (e.g., disaggregated by age/grade) from all studies

**Theme 1**
- Include a theme that addresses your review of literature’s purpose
  
  Show studies and examples from the literature- descriptive analysis

**Theme 2 (add more themes if needed)**
- Include a theme that addresses your review of literature’s purpose
  
  Show studies and examples from the literature- descriptive analysis

**Discussion of Literature Review**

**Summary of Findings/ Conclusion**
- Include a summary of findings that specifically states how this review of literature adds (e.g., agrees, disagrees, furthers) to previous literature and/or problems of practice. This section is included in the summary of finding.

**Limitations and Future Research**
- Include a limitations and future research section. Note that this is where you make your case for your dissertation study

**Personal Significance for Future Study**
- Note how the literature review informed your personal and professional understanding of your compelling question and area of research in education

**Method**
Research Questions

Researcher in this Context
Include information about personal commitment and background experiences associated with the proposed research

Setting

Selection procedures

Participants

Research design

Ethical considerations

Timeline

Summary
Appendix G: PhD Dissertation Proposal Rubric

The dissertation proposal should expand on the comprehensive exam, with more thorough and detailed sections for the Statement of Research Problem, Literature Review, and Research Design. Students must earn a score of “Pass” on at least six criteria — including Overview of Research Topic, Review of Relevant Research Literature, Research Design, and Coherence — in order to pass the proposal.

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Pass</th>
<th>Conditional Pass</th>
<th>Fail</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overview of Research Topic</td>
<td>• Overview of the research topic demonstrates comprehensive knowledge of the field.</td>
<td>• Overview of the research topic demonstrates partial knowledge of the field.</td>
<td>• Overview of the research topic does not demonstrate sufficient knowledge of the field.</td>
</tr>
<tr>
<td></td>
<td>• Research topic is directly applicable to the field.</td>
<td>• Research topic is somewhat applicable to the field.</td>
<td>• Research topic does not directly apply to the field.</td>
</tr>
<tr>
<td>Statement of Research Problem</td>
<td>• Scope of the problem is clear and realistic.</td>
<td>• Scope of the problem is somewhat clear and realistic.</td>
<td>• Scope is too broad, too narrow, or ill-defined.</td>
</tr>
<tr>
<td></td>
<td>• Research problem is well-supported by research and ethical to investigate.</td>
<td>• The problem is somewhat supported by research; there are some ethical concerns.</td>
<td>• The problem is not well-supported by research.</td>
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<td></td>
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<td>• Research problem is partially grounded in theoretical or practical significance.</td>
<td>• Research problem is not grounded in theoretical or practical significance.</td>
</tr>
<tr>
<td></td>
<td>• Research problem is clearly applicable to the field.</td>
<td>• Research problem is partially applicable to the field.</td>
<td>• Research problems are not applicable to the field.</td>
</tr>
<tr>
<td>Review of Relevant Research Literature</td>
<td>• Literature review is thorough and complete.</td>
<td>• Literature review is partially thorough and complete.</td>
<td>• Literature review is not thorough and complete.</td>
</tr>
<tr>
<td></td>
<td>• Literature review has a clear theoretical, conceptual, or empirical basis.</td>
<td>• Literature review has a weak theoretical, conceptual, or empirical basis.</td>
<td>• Literature review lacks a clear theoretical, conceptual, or empirical basis.</td>
</tr>
<tr>
<td></td>
<td>• The theoretical, conceptual, or empirical basis for the literature review is aligned with the research topic and problem.</td>
<td>• The theoretical, conceptual, or empirical basis for the literature review is partially aligned with the research topic and problem.</td>
<td>• The theoretical, conceptual, or empirical basis for the literature review is review poorly aligned with the research topic and problem.</td>
</tr>
<tr>
<td>Research Questions</td>
<td>• Research questions are clear and measurable.</td>
<td>• Research questions are partially clear and measurable.</td>
<td>• Research questions are not clear or measurable.</td>
</tr>
<tr>
<td></td>
<td>• Research questions are motivated by the literature review and aligned to the research problem.</td>
<td>• Research questions are partially motivated by the literature review and aligned to the research problem.</td>
<td>• Research questions are not motivated by the literature review or aligned to the research problem.</td>
</tr>
<tr>
<td>Research Design</td>
<td>• Research design includes an overview of research literature of relevant methods.</td>
<td>• Research design includes a partial overview of research literature of relevant methods.</td>
<td>• Research design does not include an overview of the research literature of relevant methods.</td>
</tr>
<tr>
<td></td>
<td>• Research design includes a thorough and complete description of the methods and tools to be used for data collection and analysis.</td>
<td>• Research design includes a partial description of the methods and tools to be used for data collection and analysis.</td>
<td>• Research design does not sufficiently describe the specific methods and tools to be used for data collection and analysis.</td>
</tr>
<tr>
<td>Coherence</td>
<td>• Paper is coherently written and logical, with well-supported arguments.</td>
<td>• Paper is somewhat coherent and logical, with weakly-supported arguments.</td>
<td>• Paper lacks coherence and logic; arguments need to be better supported.</td>
</tr>
<tr>
<td>APA Format</td>
<td>• Paper follows APA guidelines.</td>
<td>• Paper partially follows APA guidelines.</td>
<td>• Paper does not follow APA guidelines.</td>
</tr>
<tr>
<td>Polish</td>
<td>• No spelling or grammatical errors.</td>
<td>• Few errors that do not detract from understanding.</td>
<td>• Errors detract from understanding.</td>
</tr>
</tbody>
</table>
Appendix H: Dissertation Outline

A. Chapter 1: Introduction
   a. Research problem(s) and significance
      i. Research problem #1
      ii. Research problem #2
   b. Study purpose
   c. Research questions
   d. Research design and methodology overview
   e. Strengths and limitations of the proposed study
   f. Summary
   g. Definition of terms (or in appendix)

B. Chapter 2: Review of the literature
   a. Theoretical Framework
   b. Background and rationale of literature review (analysis of the field; what is known and what is unknown)
   c. Review of literature purpose
   d. Literature search procedures
   e. Results
   f. Discussion
   g. Conceptual framework (if applicable)
   h. Areas of future research

C. Chapter 3: Methods
   a. Research Questions
   b. Setting
   c. Researcher in this context (typically used in qualitative research)
   d. Participant selection procedures
   e. Participants
   f. Research design
   g. Ethical considerations
   h. Timeline
   i. Summary

D. Chapter 4: Findings (vary based on methodology)
   a. Brief summary of methods
   b. Outcomes related to research questions
   c. Summary of findings

E. Chapter 5: Discussion
   a. Summary of findings
      i. Description of findings in relation to research questions
      ii. Description of findings in relation to previous research
   b. Limitations
   c. Significance
   d. Implications for future research
   e. Implications for practice
   f. Overall study summary

F. References

G. Appendices
Appendix I: PhD Student Form Checklist

Checklist of forms for all PhD C&I students. See purpose of these forms, due date, and where to submit them in the table below. Unless otherwise noted, all documentation can be found on the C&I Portfolio website.

**Required C&I PhD Forms**

- Coursework Plan
- Doctoral Applied Experiences Log
- Comprehensive Exam Intent to Submit Form
- Comprehensive Exam Approval Page
- Dissertation Proposal Approval Page
- Thesis/Dissertation Oral Defense Committee Recommendation Form*
- Manuscript Format and Style Form*
- IRB Determination Form*
- Schedule of Oral Defense*
- Results of Oral Defense*
- Final Approval*

* All dissertation paperwork except proposal approval form is provided by the Office of Graduate Education and to be turned into the OGE.

**Forms that are not required but may be necessary**

- Transfer Credit Application
- Independent/Directed Study Application Form
- Experiential Learning Application Form
- Course Substitution/Waiver Form
- Praxis Results (upload scores to Canvas)
- Continuous Enrollment Form
### Appendix J: 2021 – 2022 Gifted Education Certificate Coursework Plan

Please discuss with your advisor the course plan most appropriate for your schedule. Students must maintain a cumulative grade-point average of 3.0 or better. Grades below a “C” will not be counted toward the degree. Students pursuing a CDE endorsement should consult their advisor to ensure they meet all requirements.

<table>
<thead>
<tr>
<th>Course Number</th>
<th>Credits Hours</th>
<th>Expected Term of Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>CUI 4412</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4401</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4402</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4403</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4404</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4407</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4408</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4410</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>24</strong></td>
<td></td>
</tr>
</tbody>
</table>

**Endorsement information:**
The Colorado Department of Education awards endorsements to a teaching license. Completion of this certificate is the “approved program” aspect of these requirements for the Gifted Education Core Endorsement. Completion of this certificate within a C&I PhD degree is the “approved program” aspect of requirements for a Gifted Education Specialist Endorsement.

Student’s Signature __________________________ Date ____________________

Advisor’s Signature __________________________ Date ____________________

Certificate Advisor’s Signature __________________ Date ____________________
(if different than advisor)
Appendix K: 2021 – 2022 Culturally and Linguistically Diverse Education Certificate Coursework Plan

NAME_________________________________ STUDENT #____________________________________

Please discuss with your advisor the course plan most appropriate for your schedule. Students must maintain a cumulative grade-point average of 3.0 or better. Grades below a “C” will not be counted toward the degree. Students pursuing a CDE endorsement should consult their advisor to ensure they meet all requirements.

<table>
<thead>
<tr>
<th>Course</th>
<th>Course Number</th>
<th>Credits</th>
<th>Hours</th>
<th>Expected Term of Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Foundations of Education for CLDE</td>
<td>CUI 4529</td>
<td></td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Language and Cultural Issues in Assessment and Instruction</td>
<td>CUI 4536</td>
<td></td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Literacy and Language Development for Culturally and Linguistically Diverse Learners</td>
<td>CUI 4538</td>
<td></td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Language Development and Strategies for Culturally and Linguistically Diverse Learners</td>
<td>CUI 4531</td>
<td></td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Critical Perspectives in Education</td>
<td>CUI 4035</td>
<td></td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Curriculum Theory into Practice</td>
<td>CUI 4022</td>
<td></td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Family-School Partnering and Consultation</td>
<td>CFSP 4330</td>
<td></td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Humanizing Pedagogies</td>
<td>CUI 4047</td>
<td></td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td>24</td>
<td></td>
</tr>
</tbody>
</table>

Endorsement information:
The Colorado Department of Education awards endorsements to a teaching license. Completion of this certificate is the “approved program” aspect of these requirements for the Culturally and Linguistically Diverse Education endorsement.

Student’s Signature_________________________________ Date _______________________

Advisor’s Signature_________________________________ Date _______________________

Certificate Advisor’s Signature ______________________ Date _______________________
(if different than advisor)
Appendix L: 2021 – 2022 Special Education Generalist (ages 5-21) 
Certificate Coursework Plan

NAME_________________________________ STUDENT #____________________________________

Please discuss with your advisor the course plan most appropriate for your schedule. Students must maintain a cumulative grade-point average of 3.0 or better. Grades below a “C” will not be counted toward the degree. Students pursuing a CDE endorsement should consult their advisor to ensure they meet all requirements.

<table>
<thead>
<tr>
<th>Course Number</th>
<th>Credits Hours</th>
<th>Expected Term of Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>TEP 4010</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4542</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CFSP 4312</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>TEP 4590</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>TEP 4591</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CUI 4505 or CUI 4503</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CFSP 4315</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>CFSP 4305</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>24</strong></td>
<td></td>
</tr>
</tbody>
</table>

^Courses that are required for the Teacher Education Program.

The endorsement requirements consist of a minimum of 24 credits for licensure within the Colorado Department of Education. All students will be required to take and pass the aforementioned courses, maintain good standing within the university, and maintain a 3.0 GPA. In the spring quarter, students will take the Praxis exam for CDE Endorsement for Special Education Generalist.

As this certificate sits on top of a Bachelor’s or Master’s degree it is probable that many students will enter the program with duplicative courses. In order to meet the standards outlined by CDE and to provide a rigorous and enriching experience for students, additional flexibility is necessary. Up to two courses may be transferred* in to the certificate program. These courses must be outside those required for a degree. Professional development or continuing education units will not be considered. Additionally, students have the opportunity to waive** two courses (6 credit hours), although those courses would need to be substituted with two other courses. The total number of hours for the
certificate would remain at 24 credits with all of the courses coming from DU. For example; a student could transfer in two courses and these would count as part of the 24 credits, and the student would take an additional 18 credits from DU and graduate with a 24 credit certificate.

Faculty will make the determination of eligibility for transfers as well as waiving courses at the time of acceptance into the certificate program.

Additional optional course—CFSP 4317: Special Topics in Special Education: Learning Differences (1 credit)

*Transferred credits—previous courses count for the courses needed and students do not need to take a substitute course.
**Waived credits—Students do not need to take the courses because they have taken them from another institution, but they need to take another course in its place.

Student’s Signature____________________________________ Date _______________________

Advisor’s Signature_____________________________________ Date _______________________

Certificate Advisor’s Signature ___________________________ Date _______________________
(if different than advisor)